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ORIGINAL ARTICLE

A novel microdeletion syndrome at 3q13.31 characterised by developmental delay, postnatal overgrowth, hypoplastic male genitals, and characteristic facial features

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ABSTRACT

Background Congenital deletions affecting 3q11q23 have rarely been reported and only five cases have been molecularly characterised. Genotype–phenotype correlation has been hampered by the variable sizes and breakpoints of the deletions. In this study, 14 novel patients with deletions in 3q11q23 were investigated and compared with 13 previously reported patients. Methods Clinical data were collected from 14 novel patients that had been investigated by high resolution microarray techniques. Molecular investigation and breakpoints of the deletions. In this study, 14 novel patients carrying microscopic or submicroscopic deletions in the region 3q12.3q21.3 with different boundaries and variable sizes. The smallest studied deletion was 580 kb, located in 3q13.31. Genotype–phenotype comparison in 24 patients sharing this shortest region of overlapping deletion revealed several common major characteristics including significant developmental delay, muscular hypotonia, a high arched palate, and recognisable facial features including a short philtrum and protruding lips. Abnormal genitalia were found in the majority of males, several having micropenis. Finally, a postnatal growth pattern above the mean was apparent. The 580 kb deleted region includes five RefSeq genes and two of them are strong candidate genes for the developmental delay, DRD3 and ZBTB20. Conclusion A newly recognised 3q13.31 microdeletion syndrome is delineated which is of diagnostic and prognostic value. Furthermore, two genes are suggested to be responsible for the main phenotype.

INTRODUCTION

Deletions affecting the proximal long arm of chromosome 3 are rarely reported in the literature. Hitherto, 14 patients have been described with deletions of various sizes and different breakpoints within the 3q11q23 region. The deletions were investigated in nine of the patients by standard karyotyping5–8 and only five cases have been investigated by molecular methods.9–14 The 14 patients had a range of different phenotypes including cranial and facial dysmorphisms, developmental retardation, and genital and peripheral musculoskeletal abnormalities. However, determining a proper genotype–phenotype correlation has been hampered by the few cases with molecularly defined deletions as well as by the limited number of patients described.

The advent of high resolution microarray techniques has greatly facilitated the investigation of chromosomal disorders, enabling the identification of disease-causative genes for known syndromes—for example, CHARGE syndrome (OMIM 214800) and 9q subtelomeric deletion syndrome (OMIM 610253) as reviewed in Vissers et al.15 In addition, a number of novel microdeletion and microduplications syndromes have been delineated, starting with the first described 17q21.31 microdeletion syndrome in 2006 (reviewed in Vissers et al.15). Moving from a cytogenetic approach to an ever more sensitive molecular karyotyping has reversed the strategy behind the identification of novel syndromes—that is, patients having similar/overlapping genetic rearrangements are identified before the clinical characteristics of a syndrome are defined. Furthermore, the collection of clinical and genetic information in databases such as DECIPHER,16 ISCA,17 and ECARUCA18 has been crucial for the comparison between patients with rare aberrations.

Using a reverse genetics approach and a joint collaborative effort through DECIPHER, we describe 14 novel patients carrying microscopic or submicroscopic deletions in the region 3q12.3q21.3. In addition, a molecular investigation is presented of a previously reported 3q-deletion patient.5 This study also presents a review of the 13 previously reported patients. A newly recognised 3q13.31 microdeletion syndrome is identified, characterised by developmental delay, postnatal growth above
the mean, characteristic facial features, and abnormal male genitalia. The phenotype is associated with a 0.6 Mb critical region harbouring two strong candidate genes for the developmental delay, the **DRD3** and **ZBTB20** genes.

**PATIENTS AND METHODS**

Patients

In the present study, 15 patients were included with deletions in the proximal long arm of chromosome 3. One patient, case 2, was previously described clinically and cytogenetically by Ogilvie et al.19,20 while the remaining 14 patients are novel. Clinical information was systematically collected from clinicians, using supplementary table 1.

The WHO Child Growth Standards were used to standardise birth height, weight, and occipitofrontal circumference (OFC) for all novel patients and for the previously reported patients where growth parameters were given.19 WHO standards are available up to 19 years of age for height and up to 10 years of age for weight. To assess OFC after birth the German head circumference references were used, which extend up to 18 years of age.20

The clinical investigations and genetic analyses were performed according to the guidelines in the Declaration of Helsinki and were approved by the ethics committee of Uppsala University. Informed consent was obtained from all family members and specific permission to publish photographs was obtained.

**Methods**

Molecular investigation of the 15 patients was conducted using different array platforms (table 1 and supplementary material) according to the manufacturer’s instructions. The identified deletions were confirmed using karyotyping or fluorescence in situ hybridisation (FISH) (supplementary material) and parental testing was performed when parental DNA was available (13/15 cases). Deletions in cases 1 and 2 were microscopically visible and had initially been investigated using GTG banding.5 The positions of the deletions were mapped to the human NCI/NHLBI BLASTz assemblies of the Human genome browser (hg18).

**RESULTS AND DISCUSSIONS**

**Molecular details**

We present the clinical and molecular features of 15 novel patients harbouring deletions of the proximal long arm of chromosome 3. One of the patients was reported cytogenetically in the late 1990s5 (case 2). The present study also provides a review of 15 previously reported patients.1–14 The deletions are

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**Table 1**  Molecular characterisation of 3q12q21 deletions in present and previously published patients

<table>
<thead>
<tr>
<th>Case</th>
<th>Chromosomal band</th>
<th>Start (hg18)</th>
<th>End (hg18)</th>
<th>Size (Mb)</th>
<th>No of RefSeq genes</th>
<th>Inheritance</th>
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<td>Case1</td>
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*Previously published cases with the reference indicated; Start- and endpoints in italic indicates maximum estimated start and end. BAC, bacterial artificial chromosome; CGH, comparative genomic hybridisation; FISH, fluorescence in situ hybridisation; SNP, single nucleotide polymorphism.*
mapped within 3q12.3q21.3 and they range in size from the smallest of 580 kb (case 13) to the largest of 22.4 Mb (case 4) (figure 1 and table 1). Most of the deletions have different breakpoints, although the breakpoints in cases 9, 10, 11, and 12 are in close proximity (figure 1); the breakpoints of these patients are approximately located at 115.5–116.5 Mb. In all but one case, the deletion was the sole identified aberration. In case 7, an inversion was identified (inv(3)(q13.1q26.3)) and the deletion was located at the 3q13.1 inversion breakpoint. The deletions showed a de novo occurrence in 13 cases. Parental DNA was not available for testing in case 8, whose 7.2 Mb deletion is likely to have arisen de novo because of the size and gene content of this deleted region. Carrier testing in the parents of case 14 was only possible in the mother, which was 1.9 Mb in size. However, the 2.5 Mb deletion identified in the case presented by Simovich et al is located at 3q13.11q12 and hence does not overlap with the region defined in the present study. Four cases do not have an overlapping deletion with the SRO, namely case 14, case 15 (both from this study), Kosaki et al, and Simovich et al.

Clinical data

In total, clinical data from 28 patients, both novel and previously published cases, were collected and are summarised in supplementary table 1. Photographs of some of the novel patients (cases 1, 2, 4, 5, 6, 7, 9, 12 and 15) are shown in figure 2. The clinical findings in the 24 patients sharing the SRO (115.33–115.39 Mb) are summarised separately, and the frequency of these features was calculated (supplementary table 1, frequency column). These features include normal pregnancy and delivery at term with a few exceptions. Developmental delay is the most prevalent feature, present in 19/21 cases. Two cases did not suffer from developmental delay (case 7) or had not been examined at the time of the report due to the patient’s young age (case 4). However, case 7 displayed attention deficit disorder. There are eight patients presenting with autism or attention deficits and one with epilepsy, including case 7. In 15 of 17 cases speech was delayed, and in three of these 15 patients the speech was minimal/no meaningful words were used/communication by hands by the age of 4.5, 8, and 18 years. Muscular hypotonia was found in 12/15 patients. Interestingly, muscular hypotonia was suggested by Shimojima et al to be the only common feature of the novel cases.

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Figure 1 A physical map of the chromosomal region 3q11.2 to 3q23, illustrating the deletions. The deletions identified in novel patients are shown in black, previously reported deletions that have been molecularly characterised are shown in dark grey, RefSeq genes are cytogenetically characterised are shown in light grey, RefSeq genes are indicated in blue. The grey solid box illustrates the deletions. The deletions chromosomal region 3q11.2 to 3q23, Figure 1 
finding along with developmental delay in patients with 3q13 deletions. The brain and central nervous system were also affected: five patients had agenesis of the corpus callosum, three patients had ventriculomegaly, and one had alobar holoprosencephaly. In total, seven patients displayed skull malformations: two with dolichocephaly, two with plagiocephaly, and three with brachycephaly. Furthermore, 10/13 patients presented with broad and prominent forehead.

Distinct recognisable facial features, including short philtrum, protruding lips with full lower lips and tented upper lips, hypertelorism, and antimongoloid slanted eyes, were apparent in several cases (Figure 2). In total, the facial features in the 24 patients were short philtrum in 6/6, epicanthal folds in 8/14, hypertelorism in 7/17, antimongoloid slant in 7/13, a high arched palate in 7/10, and ptosis in 4/11. Ocular malformations included strabismus in 6/14 and myopia in 4/8. The ears were large in 5/15 and were low set in 4/15.

There was a high prevalence of abnormal external male genitalia, affecting 11/15 males. The abnormalities included micropenis (4/15), microorchidism (2/15), cryptorchidism (7/15), and shawl scrotum (2/15). All female patients had normal genitalia.

Skeletal malformations were a frequent finding, present in as many as 16/24 patients. The skeletal malformations included scoliosis, lordosis, thoracic kyphosis, joint contractures, and peripheral malformations affecting the hands and feet. Of note were the proximally set thumbs present in three novel cases (cases 2, 4, and 9).

Growth parameters were assessed in the 24 patients sharing the SRO and 4/11 (cases 4, 5, 9, and 10) had a birth OFC >85th centile (7/11 had a birth OFC >50th centile). OFC was also available at a later age and 9/20 had an OFC >85th centile (11/20 had an OFC >50th centile). Case 6 is noteworthy, having a birth OFC between 15–50th centile and an OFC between 85–97th centile at the age of 4 years and 10 months. The weight and length parameters were also reviewed and these were normal at birth, 5/16 had a weight >50th centile, 5/12 had a length >50th centile, and none of the patients displayed a weight or length >85th centile. At the time of report, 10/19 had a weight >50th centile and 9/19 had a weight >85th centile. Regarding height at the time of report, 15/21 were >50th centile and 10/21 were >85th centile. Hence, a postnatal growth pattern above the mean was observed among these patients. A larger region, encompassing 18.2 Mb in q13.11q13.33, has previously been identified in a screening of patients with syndromic overgrowth, and the present report delineates the overgrowth candidate region to 3q13.31. In DECIPHER, most of the listed microdeletion/microduplication syndromes are associated with short stature, while there is one that is characterised by tall stature—the 15q26 overgrowth syndrome. Regarding OFC, there is one listed microdeletion/microduplication syndrome in DECIPHER with macrocephaly, the 1q21.1 microduplication syndrome, in comparison with microcephaly that is present in 13 of the DECIPHER listed syndromes. Known overgrowth syndromes are Sotos syndrome, Beckwith-Wiedeman syndrome, Simpson–Golabi–Behmel syndrome,
Klinefelter syndrome, homocystinuria and Marfan syndrome.\textsuperscript{21} The molecular knowledge about overgrowth syndromes is thus fairly limited and, in this context, the present report provides novel clues to finding genes involved in growth.

**Candidate genes**

The proximal long arm of chromosome 3 is a gene dense region (figure 1) with 145 genes within the estimated boundaries (chr3:103.32–128.18 Mb) of the 15 novel patients. Hence, a number of genes could potentially contribute to the phenotypic features of these patients. Regarding the five RefSeq genes present in the SRO, two (DRD3 and ZBTB20) are particularly interesting with respect to developmental delay, the neuropsychiatric features, and the structural brain, central nervous system, and skull malformations. DRD3 encodes D5 subtype of the dopamine receptors, which is localised to the limbic areas of the brain, and is involved in locomotion, cognition, emotion, and affection as well as neuroendocrine secretion.\textsuperscript{22} Targeted mutation of DRD3 is associated with hyperactivity in mice, and recent association studies in patients with neuropsychiatric disorders have explored the contribution of DRD3 variants to their phenotype.\textsuperscript{23–25} The ZBTB20 gene belongs to the BTB/POZ zinc finger family and is expressed in the developing hippocampal neurons.\textsuperscript{26} Downregulation of ZBTB20 disturbs the normal maturation of a certain type of neurons in the hippocampus, and changes in the cortical cytoarchitecture—for example, lack of the posterior part of the corpus callosum—were observed in transgenic mice models.\textsuperscript{27 28} One additional interesting aspect of ZBTB20, with respect to the observed postnatal overgrowth in the patients, is the fact that it regulates genes affecting as well as neuroendocrine secretion.\textsuperscript{22} Further support underlining the importance of DRD3 and ZBTB20 was recently identified by the study by Huang et al.\textsuperscript{36} There are 49 genes of the proximal region giving rise to a variable phenotype.\textsuperscript{37–38} Five previously published 3q deletion patients exist who displayed the phenotype of chromosome 3q.\textsuperscript{4 5 7 35} Here we present one novel patient (case 6) with previously published 3q deletion patients exist who displayed developmental delay. In addition, the age of the patients in this report, ranging from infant to 20 years, provides prognostic information for patients with this microdeletion syndrome.

**REFERENCES**

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