GENETIC TRANSMISSION OF ALZHEIMER’S DISEASE AMONG FAMILIES IN A DUTCH POPULATION BASED STUDY

Cornelia M van Duijn, Lindsay A Farrer, L Adrienne Cupples, Albert Hofman

Abstract

We evaluated age at onset and transmission patterns of Alzheimer’s disease (AD) in families of 198 patients who had onset of symptoms before the age of 65 years and were diagnosed before the age of 70 years. Patients were ascertained in a population based study in The Netherlands. The results suggest that the risk of AD by the age of 90 in first degree relatives is 39% (95% confidence interval 27 to 51). By the age of 90, this risk is 2.8 (95% confidence interval 1.5–5.2) times greater than the corresponding risk of 14% among relatives of age and sex matched control subjects. Segregation analysis indicated that patterns of familial clustering are best explained by transmission of a major autosomal dominant gene with reduced penetrance and a multifactorial component. However, the single major locus model could be rejected in favour of the mixed model only when a cohort effect for heritability was allowed for. The frequency of the AD susceptibility allele was estimated to be 0.48% in the single major locus model and 0.31% in the mixed model. Although our study confirms that a dominant major gene is implicated in early onset AD, the results suggest that other genetic or perhaps non-genetic factors may account for the disease in a considerable number of patients.

The tendency for Alzheimer’s disease (AD) to cluster in families is well recognised.1 There is evidence for autosomal dominant inheritance in a considerable number of families with multiple affected members.2 Identification of defects in the gene coding for β amyloid processing protein in patients from some families3–7 and evidence for linkage of early onset familial AD (FAD) to chromosome 14q21 and late onset FAD to chromosome 19q12 has fuelled speculation that the familial component in AD is accounted for by genetic transmission. However, observations of sporadic occurrence in approximately 50% of AD cases15–18 similar concordance rates in the range of 50% for AD among MZ and DZ twin pairs17–19 and a significantly higher number of patients with a positive family history of AD in first degree relatives among MZ twin pairs concordant for AD than in discordant MZ twins pairs20 suggest that the genetic susceptibility to AD in many people is either lacking or insufficient to cause symptoms. Differences in methodology may underlie this wide range in risk estimates. On the other hand, it is also conceivable that these discrepancies may be because of heterogeneity.21

Interpretation of familial risk data from survey studies22–25 is difficult because of censoring of unaffected relatives. In other words, even elderly relatives who are unaffected at the time of the study may yet develop or could have developed the illness at a later age. Survival analysis studies26–27 have quantified life time risk more accurately, but these methods are unable to distinguish an inherited risk factor from environmental transmission or discriminate between unifactorial and multifactorial disease models.

It has been suggested that genetics may differ between early and late onset AD and that the early onset form (onset before 65 years) may be explained as an autosomal dominant trait.21–29 Previous studies have been too small to yield precise risk estimates for early onset AD. Another problem in the interpretation of earlier investigations is that case series have been hospital based. Since referral of the patients to a particular hospital may have been related to the history of dementia in the family, selection bias may have occurred when studying the extent of genetic involvement in the disease. As to the pattern of genetic transmission, to date only one formal segregation analysis involving rigorously diagnosed cases has been published.13 This study suggested that one or more major dominant genes may be implicated in AD but that other genetic or non-genetic mechanisms appear to play a substantial role. However, these findings remain to be confirmed.21–29

We have studied the pedigrees of 198 patients with an onset of AD before the age of 65 and of 198 age and sex matched controls. Patients and controls were derived from a Dutch population based study of risk factors for early onset AD. The purpose of this study was to clarify the genetic transmission of early onset AD using data of a population based unbiased sample of AD patients. The study aimed to estimate the
risk of AD for first degree relatives of patients with early onset AD and to delineate the mode of inheritance of early onset AD.

Methods

Subjects

For this study, patients in whom the age at onset was before the age of 65 years and in whom the diagnosis of AD was made before the age of 70 years in the period of January 1980 to July 1987 were eligible. Details of the study design have been published earlier. The study was population based and aimed at complete ascertainment of all cases with early onset AD living in two areas of The Netherlands (the four northern provinces and the region of the city of Rotterdam). All nursing homes, psychiatric institutions, social-geriatric services, neurologists, and facilities for computed tomography in the specified areas were asked for patients with dementia in order to obtain full ascertainment of early onset cases. The patients were then seen by two physicians who independently confirmed the diagnosis of probable AD using a standard protocol similar to NINCDS/ADRDA criteria. Only patients for whom there was consensus on the clinical diagnosis of probable AD were included in the study. Dementias other than AD (for example, multi-infarct dementia, Parkinson's disease, and dementia secondary to alcoholism, depression, metabolic disorders, and other conditions) were excluded on the basis of the clinical history, neurological examination, and neuropsychological and laboratory tests.

The inclusion criteria for patients were: a typically low progressive decline of intellectual function; a score on the Clinical Dementia Rating scale of more than 0.5; a score on the Short Portable Mental Status Questionnaire (SPMSQ) of less than 20 (out of 30 in the Dutch version we have used); no evidence of abnormalities on computed tomography other than cerebral atrophy, nor of focal dysfunction on electroencephalography. Of the 278 patients brought to our attention, 201 satisfied these criteria. The family of one patient refused cooperation and for two others no informant could be found. In 198 (98%) patients, data on risk factors were obtained along with a serum sample. The age at onset was 55 years or younger in 56 patients, between 55 and 59 years in 76 patients, and between 60 and 65 in 66 patients.

For each patient a reference subject was selected and matched for age (within five years), gender, and place of residence. These controls were drawn randomly from the population register of the municipality of the patient at the time of diagnosis. All control subjects had a SPMSQ score of 20 or over. For controls, the first person asked consented in 103 cases (52%), in 68 (34%) it was the second selected person, in 23 (12%) the third, and in four (2%) the fourth.

Data Collection

We did not examine the relatives of the probands because a considerable number of first degree relatives were already dead at the time of study. However, detailed data on family history were collected by interviewing a next of kin of the patient or control. This informant was asked specifically about the occurrence of dementia in all first degree relatives. To increase the validity of the family history data, the information was always verified by a sib of the patient or control. Four subjects born outside The Netherlands were excluded from the analysis because their sibs could not be contacted. Onset age of dementia was defined as the age at which memory loss or change in behaviour was first noted. For non-demented relatives, the censoring age was determined, that is, the age at time of the study or the age at death.

We questioned informants extensively on the cause and the course of the dementia in affected relatives. The diagnosis was checked in independent medical records for demented persons who had been admitted to a hospital. Because relatives may have been diagnosed before standardised diagnostic criteria were available, all relatives reported as demented were re-evaluated using data from multiple informants and information derived from medical records. Relatives with a history of neurological, psychiatric, or metabolic disorders that may also lead to dementia (for example, stroke, Parkinson's disease, epilepsy, depression, or alcoholism) were classified as unaffected. All other relatives with a type of dementia that was reported as being irreversible and progressive were classified as affected with possible AD. Medical records were available for 36 (32%) of the affected relatives. Pedigrees of the families are available upon request.

Estimation of Life Time Risk and Age at Onset Distribution

Risks of dementia and the age at onset distribution for first degree relatives of the AD probands and control subjects were estimated using a maximum likelihood procedure. This method considers not only affected persons with known onset ages and unaffected persons with known censoring ages (that is, those persons typically included in a Kaplan-Meier survival analysis), but also persons for whom onset age or censoring age data are missing. This method also allows for the possibilities that a proportion of relatives asymptomatic at the time of study may be susceptible and express the disease later in life and that some dead relatives may have died from causes unrelated to AD although they may have developed symptoms had they survived. Parameter estimates for the estimated life time risk and mean onset age were compared between AD relatives and control relatives and among subgroups of AD relatives at the oldest ages more common to both groups. Since asymptotically these maximum likelihood statistics have normal distributions, a large sample Z statistic was used. For the purpose of these analyses, probands were stratified by age at onset of 58 years because this age was calculated using maximum likelihood as the most parsimonious cut off between families with early onset FAD.
and late onset FAD.2 Life time risk of AD to first degree relatives and, hence, the inferred mode of transmission, differed in these two groups of families.

SEGREGATION ANALYSIS METHODS
Segregation analysis is the evaluation of models of transmission accounting for the distribution of a trait in families. This approach uses a maximum likelihood method46 which permits joint consideration of several independent parameters of the transmission model (table 1). A variety of single gene models, polygenic models, mixed models (that is, liability to AD determined by a major locus component, a polygenic background, and random environmental)37,38 and sporadic models were tested using the computer program POINTER.41 These data represent a biased sample because all families were selected on the basis of at least one affected member. An ascertainment correction was applied which considered the type of ascertainment and the ascertainment probability, \( \pi \) (table 1). Although all AD cases diagnosed before 70 years and living in the catchment area were identified, ascertainment approaches single selection because the likelihood for any family having more than one living affected member diagnosed before 70 years is very small (in fact, only one family had multiple probands). Hence, \( \pi \) was assumed to be 0.001. As the risk of AD increases rapidly with age and the average censoring age was higher in parents than in sibs, bias may occur when studying the transmission patterns of disease within a family. To adjust for the age related increased risk of AD, parents of all probands were considered lost to follow up after the age of 81 years, which was the oldest observed onset age among sibs. Those with an onset of disease after the age of 81 were considered unaffected and lost to follow up at the age of 81. The age and sex adjusted cumulative incidence up to the age of 81 in control subjects was used to determine liability of developing AD for each subject (table 2). Data were analysed under different assumptions for \( \pi \) and cumulative incidence. Results of these analyses did not change any of the conclusions.

Results
SURVIVAL ANALYSIS RESULTS
Over a life span of 90 years, the risk for dementia to first degree relatives of AD probands is 39% (SE 6) which is significantly greater than the corresponding risk of 14% (SE 4) among relatives of controls subjects (table 3). The mean onset ages for the two groups were not significantly different. These findings suggest that although the relative proportions of early onset and late onset cases are similar among relatives of AD probands and relatives of controls, the risk of AD is higher in relatives of AD cases at all ages (fig 1). The relative risk for Alzheimer's disease by the age of 90 for those with a first degree affected relative is 2.8 (95% confidence interval 1.5–5.2).

Among first degree relatives of the AD cases, the risk of developing AD was higher in women than in men at all ages. By the age of 86, women have a 15% higher risk than men of developing AD. Moreover, the mean age of onset was significantly higher in women (79.8 years) than men (73.0 years). Parents of AD cases had a 1.4 times (0.26/0.18) the risk of sibs for developing AD by the age of 81 but this difference was not significant. At all ages, the risk of AD was higher among parents than among sibs (fig 2). Although first degree relatives of probands with an onset of disease before the age of 58 tended to have a higher risk of dementia, further stratification showed that this finding could be attributed to the 70% risk among female relatives of early onset cases. Similar risks and onset ages were found among relatives of male and female probands.

SEGREGATION ANALYSIS RESULTS
Formal testing of 15 hypotheses regarding transmission of AD in families was carried out by segregation analysis. The maximum likelihood estimates of the parameters (described in table 1) for each model are presented in table 4. Values in parentheses were fixed in accordance with the model being tested. The –2 log likelihood values for the corresponding models were compared by a likelihood ratio (\( \chi^2 \)) test in a sequential fashion. The hypothesis that susceptibility to AD is not transmitted is strongly rejected when compared to models of multifactorial (\( \chi^2 = 237.15, p < 0.0001 \)) or mendelian (\( \chi^2 = 254.75, p < 0.0001 \)) inheritance. Among models postulating a single major locus or a

<table>
<thead>
<tr>
<th>Class</th>
<th>Age range (years)</th>
<th>Cumulative incidence</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Men</td>
<td>Women</td>
</tr>
<tr>
<td>1</td>
<td>0–55</td>
<td>0–60</td>
</tr>
<tr>
<td>2</td>
<td>56–62</td>
<td>61–62</td>
</tr>
<tr>
<td>3</td>
<td>63–70</td>
<td>63–69</td>
</tr>
<tr>
<td>4</td>
<td>71+</td>
<td>70–73</td>
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<tr>
<td>5</td>
<td>74–75</td>
<td>74–75</td>
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<tr>
<td>6</td>
<td>76–77</td>
<td>76–77</td>
</tr>
<tr>
<td>7</td>
<td>78–79</td>
<td>78–79</td>
</tr>
<tr>
<td>8</td>
<td>80+</td>
<td>80+</td>
</tr>
</tbody>
</table>
Table 3  Estimated life time risk of Alzheimer’s disease (AD) and the age at onset distribution among first degree relatives among stratified groups of AD probands and controls.

<table>
<thead>
<tr>
<th>Comparison group</th>
<th>No of relatives in group</th>
<th>Oldest onset age (y)</th>
<th>Risk</th>
<th>Z+</th>
<th>Onset age (y)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Affected</td>
<td>Unaffected</td>
<td></td>
<td>Lifetime risk (SE)</td>
<td>Comparison risk (SE)*</td>
</tr>
<tr>
<td>All</td>
<td>127</td>
<td>1181</td>
<td>90</td>
<td>0.39(0.06)</td>
<td>0.39(0.06)</td>
</tr>
<tr>
<td>Controls</td>
<td>32</td>
<td>1187</td>
<td>90</td>
<td>0.14(0.04)</td>
<td>0.14(0.04)</td>
</tr>
<tr>
<td>Males</td>
<td>48</td>
<td>620</td>
<td>86</td>
<td>0.22(0.04)</td>
<td>0.22(0.04)</td>
</tr>
<tr>
<td>Females</td>
<td>79</td>
<td>561</td>
<td>90</td>
<td>0.56(0.10)</td>
<td>0.37(0.05)</td>
</tr>
<tr>
<td>Parents</td>
<td>81</td>
<td>306</td>
<td>90</td>
<td>0.42(0.06)</td>
<td>0.26(0.06)</td>
</tr>
<tr>
<td>Sibs</td>
<td>46</td>
<td>875</td>
<td>81</td>
<td>0.18(0.05)</td>
<td>0.18(0.05)</td>
</tr>
<tr>
<td>Proband onset ≤ 58</td>
<td>68</td>
<td>566</td>
<td>90</td>
<td>0.48(0.09)</td>
<td>0.48(0.09)</td>
</tr>
<tr>
<td>Proband onset &gt; 58</td>
<td>59</td>
<td>615</td>
<td>90</td>
<td>0.32(0.07)</td>
<td>0.32(0.07)</td>
</tr>
<tr>
<td>Males, proband onset ≤ 58</td>
<td>24</td>
<td>311</td>
<td>83</td>
<td>0.20(0.05)</td>
<td>0.20(0.05)</td>
</tr>
<tr>
<td>Males, proband onset &gt; 58</td>
<td>24</td>
<td>309</td>
<td>86</td>
<td>0.24(0.06)</td>
<td>0.22(0.06)</td>
</tr>
<tr>
<td>Females, proband onset ≤ 58</td>
<td>44</td>
<td>255</td>
<td>90</td>
<td>0.70(0.12)</td>
<td>0.70(0.12)</td>
</tr>
<tr>
<td>Females, proband onset &gt; 58</td>
<td>35</td>
<td>306</td>
<td>90</td>
<td>0.40(0.14)</td>
<td>0.40(0.14)</td>
</tr>
<tr>
<td>Male proband families</td>
<td>49</td>
<td>416</td>
<td>90</td>
<td>0.39(0.09)</td>
<td>0.37(0.08)</td>
</tr>
<tr>
<td>Female proband families</td>
<td>78</td>
<td>765</td>
<td>89</td>
<td>0.38(0.07)</td>
<td>0.38(0.07)</td>
</tr>
</tbody>
</table>

* Risk at maximum age common to both groups (that is, the smaller of the two oldest onset ages).
† Test for difference between comparison groups.
‡ p < 0.01  § p < 0.001

Mixed model for AD, recessive inheritance is very unlikely (model 4 v model 7: χ² = 126.14, p = 0.0001; model 9 v model 12: χ² = 17.62, p = 0.0001). Hypotheses suggesting that susceptibility to AD is determined by multifactorial inheritance only are also rejected in favour of the mixed models (model 2 v model 12: χ² = 17.62, p = 0.0005; model 3 v model 14: χ² = 10.98, p = 0.012). Among the mixed models, mendelian transmission of the disease is not rejected, that is, τ₁, τ₂, and τ₃ do not differ significantly from 1, 0.5, and 0, respectively (model 12 v model 13: χ² = 1.38, p = 0.71; model 14 v model 15: χ² = 0.01, p > 0.9). Single major locus inheritance (model 7) can be rejected in favour of the mixed model 14 only when a cohort effect (that is, Z, the parent to child heritability ratio) is not constrained to one (χ² = 16.50, p = 0.0003). The best model fitted to our data (model 14) suggests that the AD susceptibility allele at the major locus has a frequency of 0.31% and behaves in an autosomal dominant manner. The AD susceptibility allele at the major locus is 61% penetrant. In this model, approximately 2% of the variance in patterns of familial aggregation of AD is accounted for by the major locus and 21% is accounted for by a multifactorial component. Therefore it may be inferred that 77% of the variance is unaccounted for. Assuming a single major locus (model 7), 4% of the variance is accounted for by the major locus and 96% of the variance is unaccounted for.

Discussion

Our study indicates that the risk of AD by the age of 90 in first degree relatives of patients with clinically diagnosed early onset AD is 39%, and this risk is almost three times greater than the corresponding risk among relatives of control subjects. On the basis of this comparison alone, one would conclude that susceptibility to AD has a strong genetic component, but it is unlikely that an autosomal dominant model can fully explain transmission of AD in these families because the risk is much less than 50%. However, given the wide confidence interval on this estimate (27-51%), a risk of 50%, and, hence, dominant inheritance
as the sole mechanism for AD transmission cannot be excluded without doing a formal pedigree analysis.

Other studies have reported life time risks that approach 50%, but these values may be inflated because of estimation bias associated with a paucity of unaffected persons who survive to very late ages (sample size issue) and ascertainment biased towards cases with a positive family history. In contrast, the life time risk for AD of 39% is in remarkable agreement with the maximum risk estimate of 39% obtained by Farrer et al., despite the differences between the studies in ascertainment and age of onset of probands.

Although the risk of dementia was higher among parents than sibs at all ages, survival analysis also showed that life time risks to parents and sibs by the age of 81 were statistically indistinguishable, a finding consistent with other studies.

The raised risk to females is still apparent after adjusting for differential survival between men and women. Although this difference may reflect enhanced genetic expression among women, the risk of 70% among female relatives of probands with onset \( < 58 \) years is higher than expected for autosomal dominant inheritance, suggesting the existence of excess phenocopies among women.

Other complex segregation analysis suggests that patterns of familial clustering are best explained by a mixed model, in which there is transmission of a major autosomal dominant gene and a multifactorial component. These findings are consistent with the results of the survival analysis in table 3. As \( Z \) is significantly greater than one, the polygenic component seems to be stronger in the parental generation than in the offspring generation in Dutch families. This trend is in agreement with the higher risk estimates for parents than for sibs at all ages (fig 2). Biologically, the strong generational effect is difficult to interpret. It may be argued that our method for correcting the censoring bias may not have adequately accounted for the fact that the majority of the unaffected sibs are relatively young (mean = 59.0 [SE 18.8], median = 64) and, therefore, still have substantial risk of developing AD. If the generational effect is ignored, there is no significant evidence for multifactorial inheritance and familial aggregation in this population is consistent with a single major gene model.

At present two formal segregation analyses have been published. McGuinness et al. could not reject multifactorial inheritance as the mode of transmission in a segregation analysis of two Scandinavian studies. However, these studies were carried out before the availability of operational diagnostic criteria and standardised methods for reliable classification of unexamined cases. Also patients with Pick's disease confirmed at necropsy were included in these analyses. Our findings are consistent with the segregation analysis findings of Farrer et al., who studied segregation of AD in first degree relatives of 232 cases with early or late onset AD. Models postulating no transmission and multifactorial inheritance only were rejected in both studies. However, in the study of Farrer et al., who assumed a very conservative cumulative incidence (0.0065), the evidence for multifactorial inheritance in the mixed models was independent of the cohort effect. A reanalysis of their data using a cumulative incidence of 0.17, which is more compatible with the incidence of AD reported in a US population based epidemiological study, gave estimates for \( q \) (0.0023), \( h \) (0.21), and \( \tau_2 \) (0.50), which are very similar to the present study (unpublished results).

There may be several caveats to the interpretation of our findings including diagnostic uncertainty (particularly among unexamined relatives) and robustness of the parameter estimates. Specifically with regard to the life time risk estimates, it has been shown that definition of onset of disease may influence the risk estimates. Although our definition may have led to an underestimation of the true risk, a shift in the assignment of onset age would have little impact on the conclusions from the segregation analysis.

The pitfalls of segregation analysis of late onset disorders are well recognised (for a detailed discussion of the methodological issues regarding segregation analysis see reference 29). We cannot exclude the possibility that the true life time risk estimates are higher than those presented in this analysis.
that a considerable percentage of the variance in patterns of familial aggregation of AD not accounted for by autosomal dominant or multifactorial inheritance may be explained by erroneous pedigree information (for example, diagnostic uncertainty or censoring). In support of our results, we used rigorous and standardised diagnostic criteria and scrutinised the data under several different assumptions of disease prevalence and ascertainment probability. Furthermore, we used multiple informants which has been shown to increase the reliability of family history data. Another important strength of our study is the population based design, which makes it unlikely that selection bias has occurred.

It is important to note that widely used computer programs for segregation analysis (for example, POINTER, PAP, SAGE, and MENDEL) are limited to conventional genetic models for transmission of disease which allow for inheritance through a major gene with two alleles and multifactorial inheritance. More complex genetic models (for example, major gene with multiple alleles for susceptibility, oligogenic models in which the disease is determined by a small number of unlinked loci, and models involving epistasis in which expression of the major susceptibility allele is masked by another gene) are not considered. A method for segregation analysis of a two locus disease trait has been developed and successfully applied in at least one instance, but simulation studies have shown that the method has limited power to distinguish between a fully penetrant recessive model and single locus models with reduced penetrance. This is one avenue for future research in the genetics of AD.

The results of our study shed light on the issue of heterogeneity. The notion that early onset AD is a distinct genetic entity is an attractive idea. Age at onset and genetic linkage studies support the existence of an autosomal dominant gene for very early onset familial AD (age of onset before 55 years). Clinically, however, the cut off age for early onset AD is considered to be 65 years. Our findings of the age of onset and segregation analysis suggest that autosomal dominant inheritance does not fit all cases with an onset of AD before the age of 65. Furthermore, comparison of our findings with the results of a study of predominantly late onset AD suggests that at this level of analysis there is no apparent distinction in frequency of the autosomal dominant allele between cases of early onset and late onset AD. Similarity of the genetic models for early onset and late onset familial AD is consistent with the observed wide range in onset ages among affected relatives in both groups. It is possible that mechanisms determining susceptibili

Given the low frequency of the AD susceptibility allele and the lack of strong environmental risk factors, the origin of familial aggregation of AD in some families remains an unresolved issue. Other complex forms of genetic susceptibility not accounted for by the present methodology may be implicated. The finding of reduced penetrance for the major dominant allele in the present study is indeed compatible with an oligogenic model.

Our findings are also of interest in light of the recent linkage studies of familial AD. Familial early onset AD must be genetically heterogeneous, because the mutant gene in some families maps to chromosome 14 whereas patients in other families have defects within the β amyloid processing protein gene located on chromosome 21. Although the possibility of multiple loci has not been examined, our analysis and the segregation analysis by Farrer et al predict that it is unlikely that one dominant allele underlies the genetic basis in all cases. The hypothesis that non-mendelian inheritance may be involved in the genetic transmission of AD is supported by the finding of a strong association between Apo E4 and late onset AD.

A challenge to genetic epidemiologists in the future may be to disentangle the various genetic and non-genetic factors implicated in AD. Oligogenic models and epistatic models are still to be explored. A profitable strategy in future research may be to incorporate existing clues about the multifactorial component, such as associations with the Apo E4, HLA-A2, age of the father at the time of birth, and other risk factors, in a regression model analysis. In this way, it may be possible to distinguish meaningful subgroups which would be useful for a variety of clinical and research applications.

We thank Drs Wim Schulte, Teun Tanja, Rob Haaxma, Arie Lameris, and Rolf Saan for their contributions to this study. We are also grateful to Helen de Bruijn, Micheline de Haes, Jeanette Kamman, Hanneke van Meurs, and Caroline Valkenburg for help in data collection. Dan Kiely provided excellent technical assistance and Jemma Williams expertly prepared the manuscript. We thank Dr Richard H Myers for discussing the data with us. This work was supported by The Netherlands Organization for Scientific Research (NWO), the Eurodrom EC Concerted Action on the Epidemiology of Dementia, PHS grant AG09029. LAF is a fellow of the Alfred P Sloan Foundation.


