ELECTRONIC LETTER

The role of APOE in the phenotypic expression of Leber hereditary optic neuropathy

P Y W Man, C M Morris, M Zeviani, F Carrara, D M Turnbull, P F Chinnery

J Med Genet 2003; 40:e41 (http://www.jmedgenet.com/cgi/content/full/40/4/e41)

Leber hereditary optic neuropathy (LHON, MIM 535000) is a mitochondrial genetic disease that causes blindness in young adults, with an estimated prevalence of 1 in 25 000 in the north east of England. It classically presents as bilateral subacute loss of central vision owing to the focal neurodegeneration of the retinal ganglion cell layer. There is marked cell body and axonal degeneration, with associated demyelination and atrophy observed from the optic nerves to the lateral geniculate bodies. Over 95% of cases are principally the result of one of three “primary” mtDNA point mutations, G3460A, G11778A, and T14484C, which all involve genes encoding complex I subunits of the mitochondrial respiratory chain. However, only ~50% of male and ~10% of female LHON carriers will develop the optic neuropathy. This marked incomplete penetrance and gender bias clearly indicates that additional factors are required for the phenotypic expression of the pathogenic mtDNA mutations in LHON. Segregation analysis initially suggested that a nuclear encoded modifier locus on the X chromosome could account for these intriguing features. However, attempts to map this X-linked susceptibility locus using standard linkage analysis have so far not been successful. Furthermore, the Bu and Rotter segregation model could not be replicated in every LHON population. It is therefore likely that the phenotypic expression of the primary mtDNA LHON mutations is dependent upon the complex interaction of multiple susceptibility factors, which may include nuclear modifier genes and environmental agents.

There is a strong association between APOE genotype and a number of common neurodegenerative conditions, including Alzheimer disease and dementia with Lewy bodies. The APOE e4 allele is associated with an increased lifetime risk and an earlier age of onset for these disorders, whereas the e2 allele appears to have a protective effect. The mechanisms behind these effects remain unclear, but there is emerging evidence that APOE has a wide range of important functions in the central nervous system (CNS) besides its postulated role in amyloid plaque and neurofibrillary tangle formation. It has been implicated in the modulation of neuronal response to oxidative stress and also as an important neurotrophic factor inducing recovery after acute neuronal injury. APOE is produced by Muller cells within the retina and then secreted into the vitreous humour, after which it is taken up by retinal ganglion cells and rapidly transported to the optic nerve. It is therefore possible that APOE genotype could modulate the expression of the primary mtDNA LHON mutations. Here, we present the results of a family based association study that investigated whether APOE genotype influenced the risk of visual loss and/or the age of onset in LHON pedigrees from two different background populations.

METHODS

Case ascertainment

This study included 16 LHON pedigrees from the north east of England and 44 from Italy, ascertained through a clinically affected proband (table 1). Affected subjects were diagnosed as having LHON by an experienced ophthalmologist based upon the classical phenotype and molecular confirmation of a primary mtDNA mutation. Unaffected LHON carriers were classified as family controls only if they were >30 years of age and the proportion of mutant mtDNA (mutation load) was >60% in blood DNA. Thirteen unaffected pedigree members from the north east of England and 19 from Italy did not fulfil these inclusion criteria and they were excluded from the analysis.

Table 1  LHON pedigrees included in this study

<table>
<thead>
<tr>
<th>Pedigrees</th>
<th>Subjects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cohort</td>
<td>Total G3460A G11778A T14484C</td>
</tr>
<tr>
<td>England</td>
<td>16 5 10 1</td>
</tr>
<tr>
<td>Italy</td>
<td>44 4 37 3</td>
</tr>
</tbody>
</table>

Key points

- Leber hereditary optic neuropathy (LHON) is primarily the result of one of three pathogenic mitochondrial DNA (mtDNA) mutations, but additional unknown genetic and/or environmental factors influence the expression of the primary mtDNA defect.
- We determined the apolipoprotein E (APOE) genotype in affected and unaffected subjects in 16 English and 44 Italian pedigrees with LHON.
- Logistic regression analysis showed no statistically significant effect of APOE genotype upon disease penetrance in LHON, with an odds ratio for the e4/- genotype of 1.80 (p>0.05, 95% CI 0.62 to 5.22) and for the e2/- genotype of 0.52 (p>0.05, 95% CI 0.19 to 1.44).
- There was no significant difference in the age of onset of blindness between patients with e4/- and non-e4/- genotypes (χ²=0.79, p=0.05 by log rank test).
- We found no evidence that APOE is a visual loss susceptibility gene in LHON. APOE genotype also does not appear to influence the age of onset of visual failure.
Molecular genetic analysis

APOE genotype was determined by PCR amplification of a 227 bp fragment spanning the two polymorphic sites (codons 112 and 158) that determine APOE genotype, with subsequent overnight incubation at 37°C with the restriction enzyme HhaI (New England Biolabs, UK). The digested products were electrophoresed at 100 V on a 4.01% Nusieve (New England Biolabs, UK). The digested products were electrophoresed at 100 V on a 4.01% Nusieve™ 3:1 gel (Boehringer Mannheim, UK) and then visualised under ultraviolet light to determine the restriction pattern and hence the genotype. 17-18

Statistical analysis

A logistic regression model was also used to study the relationship between APOE genotype and disease penetrance in LHON. This form of analysis assumes that the logarithm of the odds ratio is a linear function of the predictor variables included in the model:

$$\log (p/1 – p) = B_0 + B_1X_1 + B_2X_2 + ... B_nX_n$$

where $p$ is the probability of a LHON carrier going blind, $X_1$, $X_2$, ... $X_n$ represent the chosen predictor variables, and $B_0$, $B_1$, ... $B_n$ are coefficients reflecting the nature of each predictor. 19 With logistic regression, it becomes possible to analyse simultaneously all of the following variables and their interactions: age, gender, mutational status (G3460A, GI11778A, or T14484C), ethnic origin (north east of England or east of England), and APOE genotype (e4/-: at least one e4 allele is present, e2/-: at least one e2 allele is present). This approach also allows for potential confounding factors such as differences in the age or gender distribution within the study groups, therefore minimising the chance of detecting a spurious statistical association. The effect of APOE genotype on age of onset was assessed with Kaplan-Meier survival analysis. The frequency of e4 heterozygotes in controls from the north east of England is 0.25 20 and this figure was used for power calculations.

RESULTS

Both cases and controls were in Hardy-Weinberg equilibrium at the APOE locus (table 2). Logistic regression analysis confirmed the well established gender bias in LHON (OR=15.33, 95% CI 6.72 to 35.01, table 3). There was no statistically significant effect of ethnic origin (OR=0.62, 95% CI 0.27 to 1.43), or the specific mtDNA mutation (OR=1.05, 95% CI 0.53 to 2.08), on the risk of visual failure. There was no evidence of a significant effect of APOE genotype upon disease penetrance in LHON, with an odds ratio for the e4/- genotype of 1.80 (95% CI 0.62 to 5.22) and for the e2/- genotype of 0.52 (95% CI 0.19 to 1.44) (table 3). The age at which visual loss occurred was available for 28 of the 29 LHON patients from the north east of England. There was no significant difference in the age of onset of blindness between patients with e4/- and non-e4/- genotypes ($\chi^2=0.79, p>0.05$ by log rank test, fig 1).

DISCUSSION

We have shown that APOE genotype does not have a major effect on the phenotypic expression of LHON or the age of onset of visual failure. Power calculations indicate that our study sample had an 88% power to detect an odds ratio of 2.5 or greater for the e4 allele at the 0.05 significance level. Therefore, if APOE does influence the penetrance of the primary mtDNA LHON mutations, the effect is only likely to be modest. It does remain possible that APOE might influence the LHON phenotype in a different, more subtle manner, possibly affecting the chance of visual recovery after the acute phase, but investigating this possibility will not be easy given the relatively low prevalence of primary LHON mutations in the general population. The reduced penetrance of the primary LHON mtDNA mutations remains unexplained, and further

**Table 2** Summary of APOE genotype in our north east of England and Italian cohorts

<table>
<thead>
<tr>
<th>Genotype Group</th>
<th>Cohort</th>
<th>Status (No)</th>
<th>e2/2</th>
<th>e2/3</th>
<th>e2/4</th>
<th>e3/3</th>
<th>e3/4</th>
<th>e4/4</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>England Cases</td>
<td>30</td>
<td>0</td>
<td>4</td>
<td>0</td>
<td>18</td>
<td>7</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Controls</td>
<td>33</td>
<td>0</td>
<td>7</td>
<td>0</td>
<td>25</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Italy Cases</td>
<td>51</td>
<td>0</td>
<td>5</td>
<td>0</td>
<td>39</td>
<td>7</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>Controls</td>
<td>51</td>
<td>0</td>
<td>9</td>
<td>3</td>
<td>36</td>
<td>3</td>
<td>0</td>
</tr>
</tbody>
</table>

**Table 3** Logistic regression analysis

<table>
<thead>
<tr>
<th>Predictors</th>
<th>Coefficient</th>
<th>SE</th>
<th>Z</th>
<th>p</th>
<th>Odds Ratio</th>
<th>95% CI</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constant</td>
<td>-2.26</td>
<td>1.50</td>
<td>-1.51</td>
<td>0.13</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>Age</td>
<td>-0.99</td>
<td>0.40</td>
<td>-2.49</td>
<td>0.01</td>
<td>0.37</td>
<td>0.17 to 0.81</td>
</tr>
<tr>
<td>Gender</td>
<td>2.73</td>
<td>0.42</td>
<td>6.48</td>
<td>0.00</td>
<td>15.33</td>
<td>6.72 to 35.01</td>
</tr>
<tr>
<td>Mutation</td>
<td>0.05</td>
<td>0.35</td>
<td>0.13</td>
<td>0.89</td>
<td>1.05</td>
<td>0.53 to 2.08</td>
</tr>
<tr>
<td>Ethnic origin</td>
<td>-0.48</td>
<td>0.43</td>
<td>-1.13</td>
<td>0.26</td>
<td>0.62</td>
<td>0.27 to 1.43</td>
</tr>
<tr>
<td>e4/- genotype</td>
<td>0.59</td>
<td>0.54</td>
<td>1.08</td>
<td>0.28</td>
<td>1.80</td>
<td>0.62 to 5.22</td>
</tr>
<tr>
<td>e2/- genotype</td>
<td>-0.65</td>
<td>0.52</td>
<td>-1.26</td>
<td>0.21</td>
<td>0.52</td>
<td>0.19 to 1.44</td>
</tr>
</tbody>
</table>

SE: Standard error.

**Figure 1** Effect of APOE e4 allele on age of onset of visual failure in LHON pedigrees from the north east of England (Y axis indicates probability of remaining unaffected).
studies are needed to determine the role of nuclear genes and the environment in modulating the expression of this mitochondrial genetic disorder.

ACKNOWLEDGEMENTS
This work was supported by the Welcome Trust (PFC, DMT), the Medical Research Council (DMT, CMM), the PPP Healthcare Trust (PPWM), Telethon-Italy grant n1180 (MZ), and grant “Ricerca 2000” by Fondazione Pierfranco and Luisa Mariani (MZ). Power calculations were carried out using a Fortran 77 program, courtesy of Dr Andrew Carothers, MRC Human Genetics Unit, Western General Hospital, Edinburgh.

....................

Authors’ affiliations
P Y W Man, D M Turnbull, P F Chinnery, Department of Neurology, The Medical School, University of Newcastle upon Tyne, UK
C M Morris, Institute for Ageing and Health, Newcastle General Hospital, Newcastle upon Tyne, UK
M Zeviani, F Carrara, Unit of Molecular Neurogenetic, Pierfranco and Luisa Mariani Centre for the Study of Children’s Mitochondrial Disorders, National Neurological Institute “C Besta”, Milan, Italy
Luisa Mariani Centre for the Study of Children’s Mitochondrial Disorders, National Neurological Institute “C Besta”, Milan, Italy

Correspondence to: Dr P F Chinnery, Department of Neurology, The Medical School, Framlington Place, Newcastle Upon Tyne NE2 4HH, UK; P F Chinnery@ncl.ac.uk

REFERENCES
The role of *APOE* in the phenotypic expression of Leber hereditary optic neuropathy

P Y W Man, C M Morris, M Zeviani, F Carrara, D M Turnbull and P F Chinnery

*J Med Genet* 2003 40: e41
doi: 10.1136/jmg.40.4.e41

Updated information and services can be found at:
http://jmg.bmj.com/content/40/4/e41

These include:

**References**

This article cites 19 articles, 5 of which you can access for free at:
http://jmg.bmj.com/content/40/4/e41#BIBL

**Email alerting service**

Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

**Errata**

An erratum has been published regarding this article. Please see next page or:
/content/53/7/493.full.pdf

**Topic Collections**

Articles on similar topics can be found in the following collections

- Eye Diseases (298)
- Dementia (34)
- Memory disorders (psychiatry) (67)
- Molecular genetics (1254)
- Clinical genetics (256)

**Notes**

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/
Correction


The first author’s name of all three papers has been corrected to Patrick Yu-Wai-Man.

doi:10.1136/jmg-2003-011247corr1, doi:10.1136/jmg.40.4.e41corr1, and doi:10.1135.jmg.39.3.162corr1